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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	W-3875	Total Pages	130
<i>First Named Inventor or Application Identifier</i>			
DONALD D. HOLBROOK			
Express Mail Label No.	EM440646782US		

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)	6. <input type="checkbox"/> Microfiche Computer Program (Appendix)
2. <input checked="" type="checkbox"/> Specification [Total Pages 19] (preferred arrangement set forth below)	7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- Descriptive title of the Invention	a. <input type="checkbox"/> Computer Readable Copy
- Cross References to Related Applications	b. <input type="checkbox"/> Paper Copy (identical to computer copy)
- Statement Regarding Fed sponsored R & D	c. <input type="checkbox"/> Statement verifying identity of above copies
- Reference to Microfiche Appendix	
- Background of the Invention	
- Brief Summary of the Invention	
- Brief Description of the Drawings (if filed)	
- Detailed Description	
- Claim(s)	
- Abstract of the Disclosure	
3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 1]	
4. Oath or Declaration [Total Pages 5]	
a. <input checked="" type="checkbox"/> Newly executed (original or copy)	8. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))
b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) (Note Box 5 below)	9. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney
i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	10. <input type="checkbox"/> English Translation Document (if applicable)
5. <input type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.	11. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations
	12. <input type="checkbox"/> Preliminary Amendment
	13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
	14. <input checked="" type="checkbox"/> Small Entity <input type="checkbox"/> Statement filed in prior application, Statement(s) <input type="checkbox"/> Status still proper and desired
	15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)
	16. <input type="checkbox"/> Other: .....

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

 Continuation  Divisional  Continuation-in-part (CIP) of prior application No: \_\_\_\_\_**18. CORRESPONDENCE ADDRESS**

<input type="checkbox"/> Customer Number or Bar Code Label	(Insert Customer No. or Attach bar code label here)		or <input checked="" type="checkbox"/> Correspondence address below
NAME	By: <i>Rodney K. Worrel</i>		
	Date: February 23, 2000		
ADDRESS	St. Croix Professional Center		
	2109 W. Bullard Avenue, Suite 121		
CITY	Fresno	STATE	California ZIP CODE 93711-1258
COUNTRY	U.S.A.	TELEPHONE	(559) 431-4391 FAX 559.431.4387

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## FEE TRANSMITTAL

TOTAL AMOUNT OF PAYMENT (\$ 385.00

Complete if Known

Application Number	
Filing Date	
First Named Inventor	DONALD D. HOLBROOK
Group Art Unit	
Examiner Name	
Attorney Docket Number	W-3875

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 23-3185

Deposit Account Name WORREL &amp; WORREL

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)

2.  Payment Enclosed:

Check  Money Order  Other

## FEE CALCULATION (fees effective 10/01/96)

## 1. FILING FEE

Large Entity				Small Entity				
Fee Code (\$)	Fee (\$)	Fee Code (\$)	Fee (\$)	Fee Description				Fee Paid
101	770	201	385	Utility filing fee	345.00			
106	320	206	160	Design filing fee				
107	530	207	265	Plant filing fee				
108	770	208	385	Reissue filing fee				
114	150	214	75	Provisional filing fee				

SUBTOTAL (1) (\$345.00

2. CLAIMS		Extra	Fee from below	Fee Paid
Total Claims	15	-20 =	0	0
Independent Claims	1	- 3 =	0	39
Multiple Dependent Claims	0	0	130	0

Large Entity				Small Entity			
Fee Code (\$)	Fee (\$)	Fee Code (\$)	Fee (\$)	Fee Description			
103	22	203	11	Claims in excess of 20			
102	80	202	40	Independent claims in excess of 3			
104	260	204	130	Multiple dependent claim			
109	80	209	40	Reissue independent claims over original patent			
110	22	210	11	Reissue claims in excess of 20 and over original patent			

SUBTOTAL (2) (\$ 0

## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee (\$)	Fee Description	
105	130	205	65
		Surcharge - late filing fee or oath	
127	50	227	25
		Surcharge - late provisional filing fee or cover sheet	
139	130	139	130
		Non-English specification	
147	2,460	147	2,460
		For filing a request for reexamination	
112	900	112	900
		Requesting publication of SIR prior to Examiner action	
113	1,790	113	1,790
		Requesting publication of SIR after Examiner action	
115	110	215	55
		Extension for response within first month	
116	390	216	195
		Extension for response within second month	
117	930	217	465
		Extension for response within third month	
118	1,470	218	735
		Extension for response within fourth month	
119	300	219	150
		Notice of Appeal	
120	300	220	150
		Filing a brief in support of an appeal	
121	260	221	130
		Request for oral hearing	
138	1,470	138	1,470
		Petition to institute a public use proceeding	
140	110	240	55
		Petition to revive unavoidably abandoned application	
141	1,290	241	645
		Petition to revive unintentionally abandoned application	
142	1,290	242	645
		Utility issue fee (or reissue)	
143	440	243	220
		Design issue fee	
144	650	244	325
		Plant issue fee	
122	130	122	130
		Petitions to the Commissioner	
123	50	123	50
		Petitions related to provisional applications	
126	230	126	230
		Submission of Information Disclosure Stmt	
581	40	581	40
		Recording each patent assignment per property (times number of properties)	
146	770	246	385
		Filing a submission after final rejection (37 CFR 1.129(a))	
149	770	249	385
		For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify) _____			
Other fee (specify) _____			

SUBTOTAL (3) (\$40.00

Reduced by Basic Filing Fee Paid

## SUBMITTED BY

Typed or Printed Name

Rodney K. Worrel

Signature

Express Mail Label No.  
EM440646782US

Complete (if applicable)

Reg. Number

27,475

Date

2/23/00

Deposit Account User ID

23-3185

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Attorney's Docket No. W-3875**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**In re application of: **DONALD D. HOLBROOK**Serial No.: Group No.:Filed: Examiner:For: **METHOD FOR TREATING  
A WORK MATERIAL**Commissioner of Patents and Trademarks  
Washington, D.C. 20231**EXPRESS MAIL CERTIFICATE**"Express Mail" label number EM440646782USDate of Deposit February 23, 2000

I hereby certify that the following attached paper or fee

Utility Application Transmittal Form; Fee Transmittal Form; \$345.00 filing fee; Recordation For Recording Assignment; \$40.00 recording fee; Assignment; Drawing; Utility Patent Application (19 pages) with (15) claims; Combined Declaration; Submission Of Verified Statements; Verified Statement Claiming Small Entity Status--Independent Inventor; Verified Statement Claiming Small Entity Status--Small Business Concern; Form Information Disclosure Statement; and return card.

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Rodney K. Worrel

(typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

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**NOTE:** *The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail." Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings it is suggested that the label number be placed on the back of each formal drawing or the drawings be accompanied by a set of informal drawings on which the label number is placed.*

Attorney's Docket No. W-3875**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: **DONALD D. HOLBROOK**  
 Group No.  
 Serial No.: 0 / Examiner:  
 Filed:  
 For: **METHOD FOR TREATING** Issued :  
**A WORK MATERIAL**  
 Patent No.:

\*NOTE: Insert name(s) of inventor(s) and title also for patent. Where submission is with respect to a maintenance fee payment also insert application serial number and filing date and mark Form Box M. Fee.

**Commissioner of Patents and Trademarks**  
 Washington, D.C. 20231

**SUBMISSION OF VERIFIED STATEMENT(S) TO ESTABLISH  
 SMALL ENTITY STATUS**

The attached statement is being submitted to establish small entity status in this

application  
 patent

by the:

(check all applicable boxes below)

a.  independent inventor(s) 37 CFR 1.9(c) and 1.27(b)  
 b.  non-inventor supporting  
 claim by another 37 CFR 1.9(c) and 1.27(b)

**CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8a)**

I hereby certify that this correspondence is, on the date shown below, being:

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 Date: 2/23/00

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transmitted by facsimile to the Patent and Trademark Office

Signature

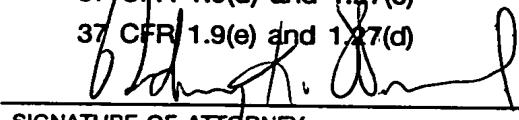
Rodney K. Worrel  
 (type or print name of person certifying)

(Submission of Verified Statement(s) to Establish Small Entity Status[7-11])

c.  small business concern  
d.  non-profit organization

37 CFR 1.9(d) and 1.27(c)

37 CFR 1.9(e) and 1.27(d)

  
SIGNATURE OF ATTORNEY

Reg. No. 27,475

Tel. No. (559) 431-4391

**Rodney K. Worrel**

(Type or print name of attorney)

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2109 W. Bullard Avenue, Suite 121**

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**Fresno, California 93711-1258**